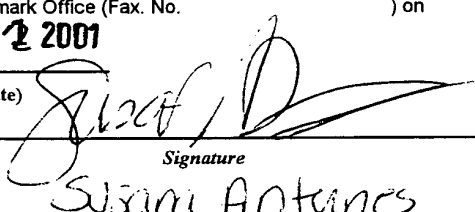
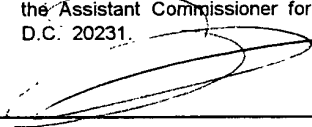
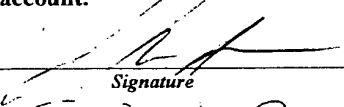


#4 1765  
#4

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c))		Docket No. 004227 USA02/ETCH	
In Re Application: David Mui et al.		COPY OF PAPERS ORIGINALLY FILED SILICON/JSB	
Serial No. 09/905,172	Filing Date 7/13/01	Examiner Unassigned	Group Art Unit 1765
ETCH PATTERN DEFINITION USING A CVD ORGANIC LAYER AS AN ANTI-REFLECTION COATING AND MASK			
<div>RECEIVED JAN 14 2002 TC 1700</div> <div>PATENT &amp; TRADEMARK OFFICE JAN 08 2002</div> <p>Payment of Fee (Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(b))</p> <p><input type="checkbox"/> A check in the amount of _____ is attached.</p> <p><input checked="" type="checkbox"/> The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 50-1074 as described below. A duplicate copy of this sheet is enclosed.</p> <p><input type="checkbox"/> Charge the amount of _____</p> <p><input type="checkbox"/> Credit any overpayment.</p> <p><input checked="" type="checkbox"/> Charge any additional fee required.</p> <div><div><p>Certificate of Transmission by Facsimile*</p><p>I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax No. _____) on NOV 02 2001 (Date)  Signature Susan Antunes Typed or Printed Name of Person Signing Certificate</p></div><div><p>Certificate of Mailing by First Class Mail</p><p>I certify that this document and fee is being deposited on _____ with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.</p><p>NOV 02 2001  Signature of Person Mailing Correspondence Susan Antunes Typed or Printed Name of Person Mailing Certificate</p></div></div> <p>*This certificate may only be used if paying by deposit account.</p> <p> Signature Joseph Bac Reg. # 37,711</p> <p>Dated: 11/1/01</p> <p>CC:</p>			

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
004227 USA02/ETCH/

In Re Application Of: David Mui et al.

SILICON/J81

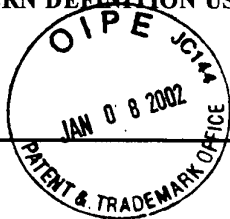
Serial No.  
09/905,172

Filing Date  
7/13/01

Examiner  
Unassigned

Group Art Unit  
1765

Title: ETCH PATTERN DEFINITION USING A CVD ORGANIC LAYER AS AN ANTI-REFLECTION COATING  
AND HARDMASK



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TC 1700

Address to:  
Assistant Commissioner for Patents  
Washington, D.C. 20231

**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

OR

☐ the fee set forth in 37 CFR 1.17(p).